

---

# CTEQ Studies of Parton Distribution Functions in the Transition and DIS Regimes

NuInt12 – Rio de Janeiro, Brazil  
October 2012

Jorge G. Morfin  
Fermilab

# CTEQ

## What are we doing relevant to $\nu$ -A scattering?

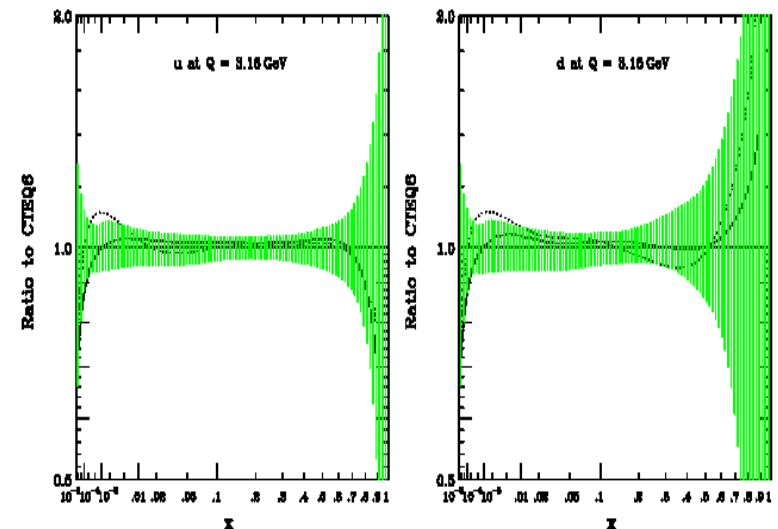
---

- ◆ CTEQ (Coordinated Theoretical-Experimental Project on QCD) grew out of joint work Wu-Ki Tung and I performed to produce the Morfín-Tung Parton Distribution Functions (PDFs) in 1989/90.
- ◆ CTEQ, now a collaboration of 23 theorists and 10 experimentalists, has the goal of studying both perturbative and non-perturbative QCD, organizing workshops and a yearly school on QCD Analysis and Phenomenology and global fits of data to yield PDFs.
- ◆ Three main areas of study:
  - ▼ High  $W/Q^2$  Nucleon PDFs incorporating the latest LHC results (CT10/12 PDFs)
  - ▼ Study of the lower- $W$ /higher- $x$  region, covering transition and low- $Q$  DIS (CTEQ - Jefferson Lab collaboration)
  - ▼ Nuclear PDFs
- ◆ I'll concentrate on the last two areas in this very short review.

# Higher- $x$ , lower- $W$ PDFs

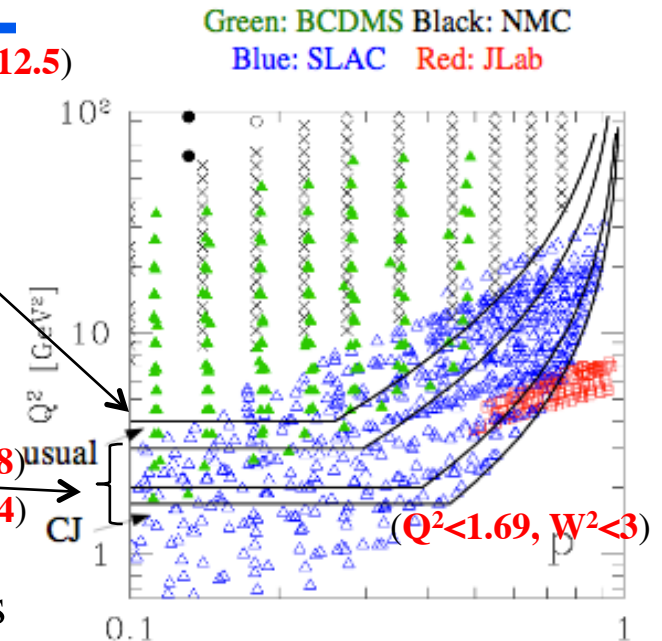
## CTEQ-Jefferson Lab Collaboration

- ◆ Collaboration: **A. Accardi**, E. Christy, C. Keppel, W. Melnitchouk, P. Monaghan, J. Owens
- ◆ Goals
  - ▼ Global QCD fits of PDFs **focused on large- $x$  d & u valence quarks**.
  - ▼ Improve the PDF experimental precision (“PDF errors”): increase the fitted data set
  - ▼ Include all relevant large- $x$  / small- $Q^2$  theory corrections.
  - ▼ Quantitatively evaluate theoretical systematic errors.
- ◆ Include Target Mass Corrections (TMC) and Higher Twists (HT)



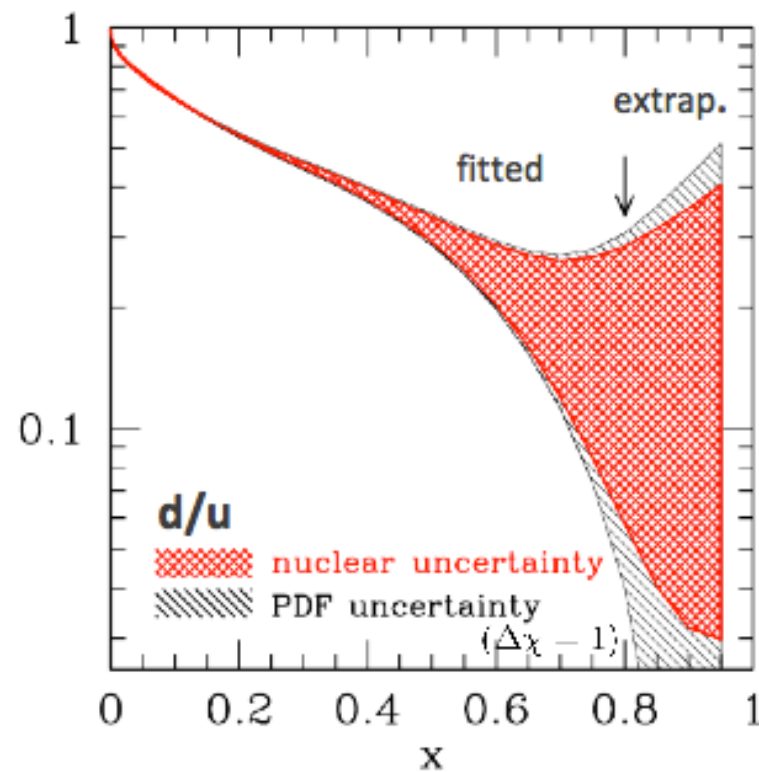
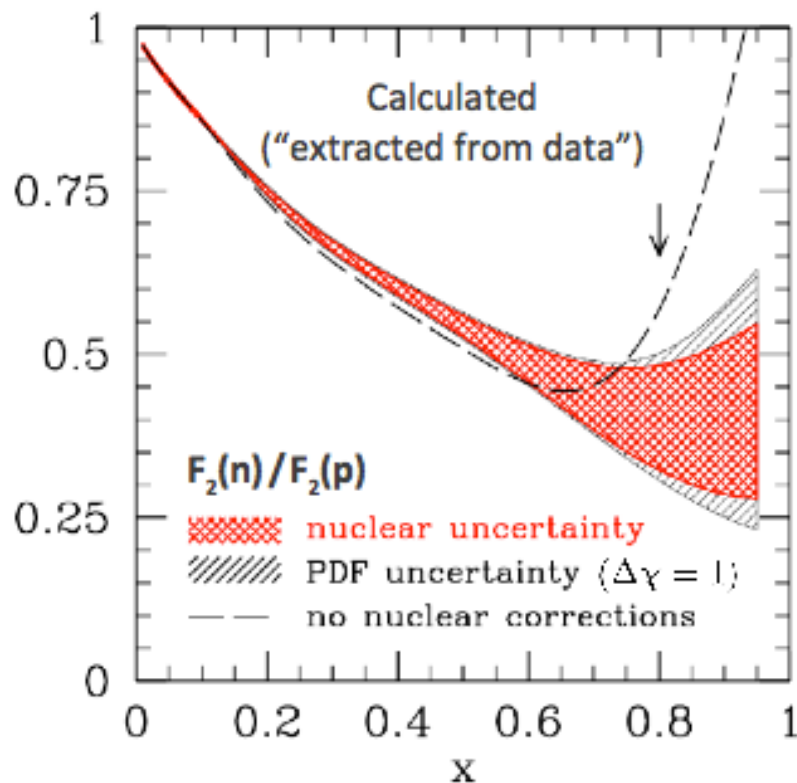
# CTEQ-Jlab Results – E. Christy Presentation

- ◆ When using the Standard CTEQ cuts ( $Q^2 < 4, W^2 < 12.5$ )
  - ▼ The fitted PDFs are (relatively) insensitive to TMC and HT.
  - ▼ Nuclear (deuterium) corrections are not negligible (but usually neglected...)
- ◆ Looser kinematic cuts
  - ▼ PDFs stable as kinematic cuts are varied ( $Q^2 < 3, W^2 < 8$ )  
( $Q^2 < 2, W^2 < 4$ )
  - ▼ Substantial reduction in “experimental” PDF errors ( $Q^2 < 1.69, W^2 < 3$ )
- ◆ Intriguing stability with respect to Target Mass Corrections
  - ▼ The fitted HT term compensates for differences in TMC models
  - ▼ Leading-twist PDFs have little systematic error (good!)
  - ▼ HT term has  $\approx 50\%$  uncertainty (not so good, if you care for this...)
- ◆ New  $d$ -quark parameterization requires deuterium data!
  - ▼ **Large** sensitivity to nuclear model for deuterium!



## The CTEQ-JLab $F_2(n) / F_2(p)$ and $d/u$

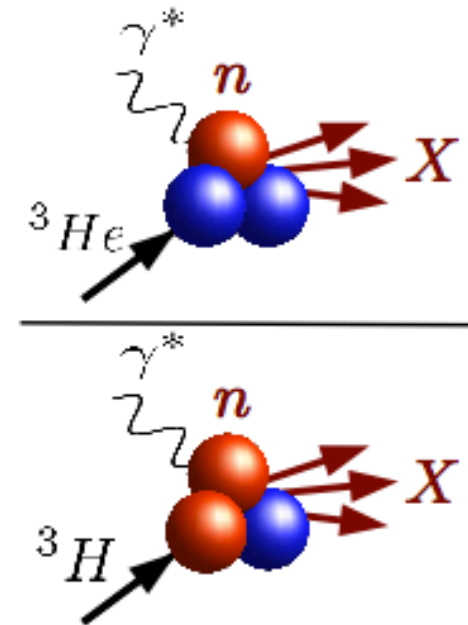
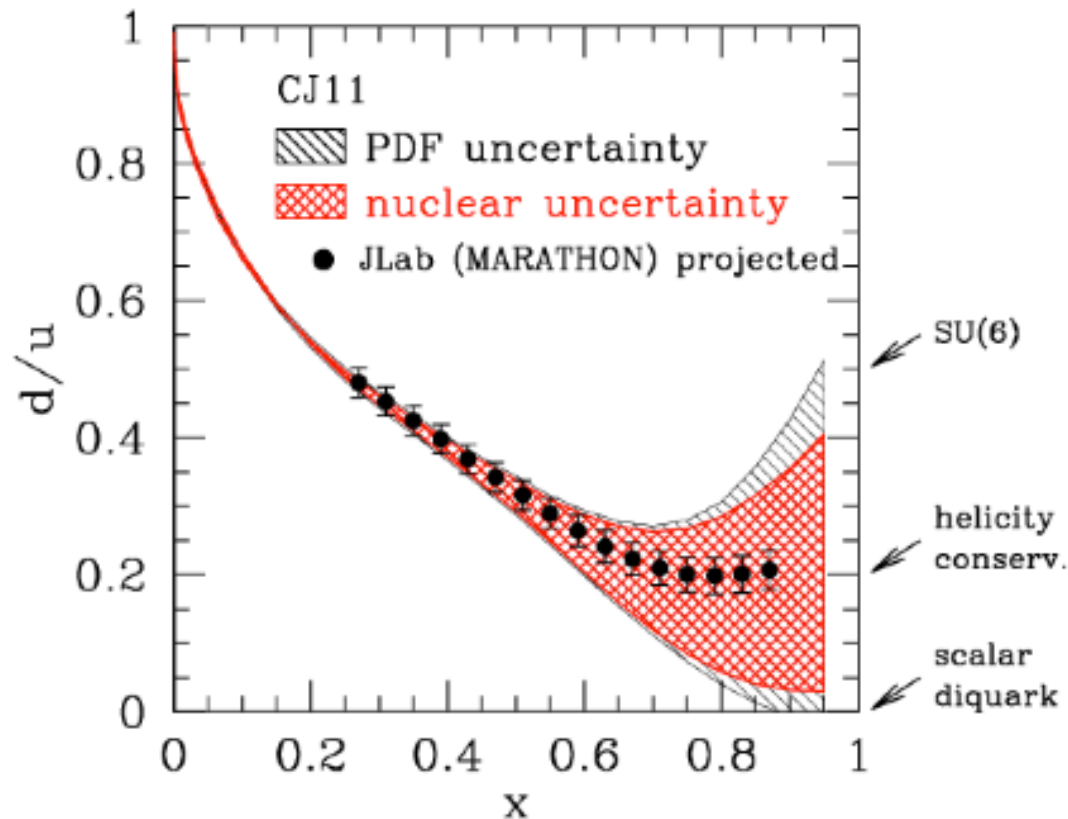
- ◆ Well behaved extrapolation for each nuclear model
- ◆ But: **large nuclear uncertainty (covers all theory predictions)**
  - ▼ Nuclear uncertainty **totally masks improved statistics** from low- $W^2$  data



# We need more experimental data!

## BONUS and MARATHON

- ◆ BONUS described by E. Christy.
- ◆ Quasi-free neutrons from MARATHON.
  - ▼ approved Jlab12 experiment.
- ◆ Nuclear corrections largely cancel in ratio of  $^3\text{He}/^3\text{H}$  cross sections.



# High- $x$ Structure Functions & PDFs

$\nu$  -  $p$  Scattering

$$\left. \begin{aligned} F_2^{\nu p} &= 2x (d + \bar{u} + s) \\ F_2^{\bar{\nu} p} &= 2x (\bar{d} + u + \bar{s}) \end{aligned} \right\} \xrightarrow{\text{At high } x} \boxed{\frac{F_2^{\nu p}}{F_2^{\bar{\nu} p}} \approx \frac{d}{u}}$$

Add in...

$$\left. \begin{aligned} xF_3^{\nu p} &= 2x (d - \bar{u} + s) \\ xF_3^{\bar{\nu} p} &= 2x (-\bar{d} + u - \bar{s}) \end{aligned} \right\} \rightarrow \begin{aligned} F_2^{\nu p} - xF_3^{\nu p} &= 4x\bar{u} \\ F_2^{\bar{\nu} p} + xF_3^{\bar{\nu} p} &= 4xu \end{aligned}$$

MINER $\nu$ A future Run with  $\text{H}_2$  in the Cryo-target and NuMI  $\text{HE}$  beam?

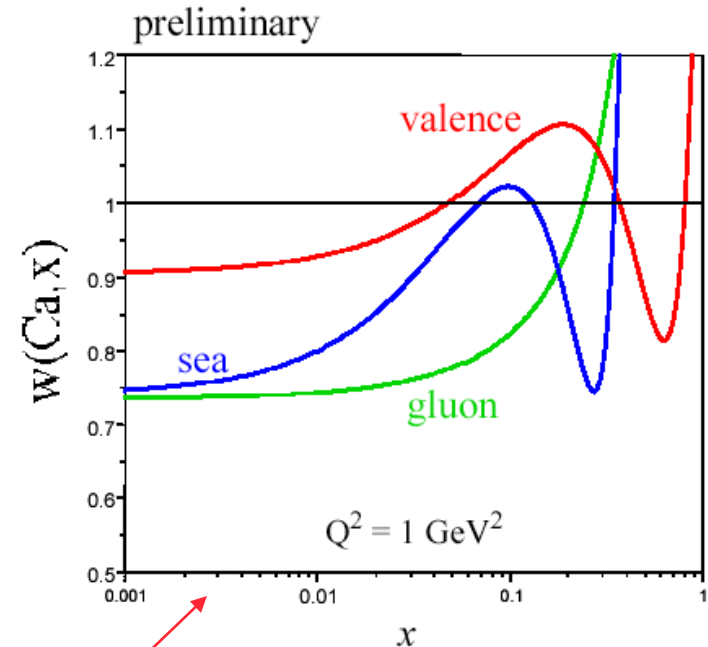
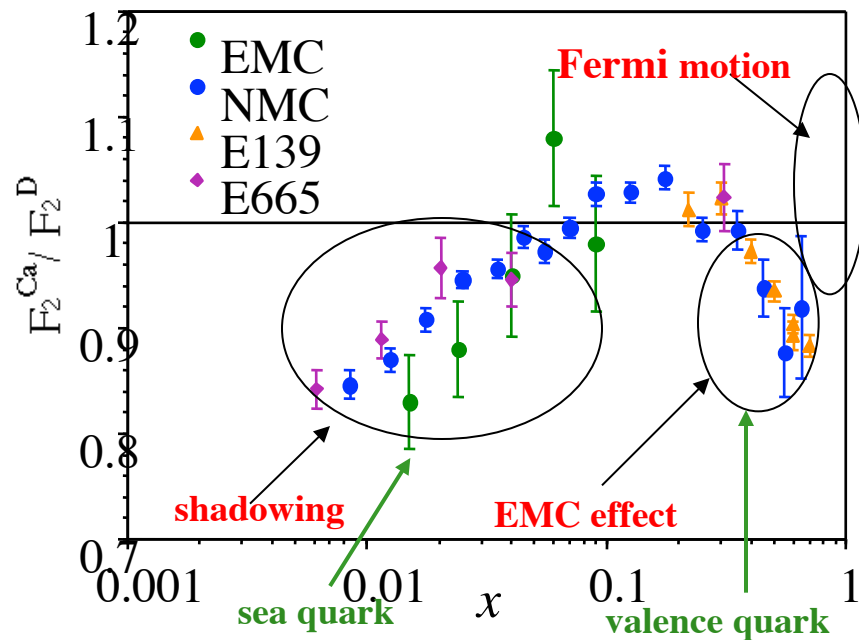
# Speaking of Nuclear Effects in Neutrino Interactions

---

- ◆ Target nucleon in motion - spectral functions (Benhar et al.)
- ◆ Certain reactions prohibited - Pauli suppression
- ◆ Quasi-elastic form factors are modified within the nuclear environment. (Butkevich / Kulagin, Tsushima et al.)
- ◆ Meson exchange currents: multi-nucleon initial states
- ◆ Produced topologies are modified by final-state interactions modifying topologies and reducing detected energy.
  - ▼ Convolution of  $\delta\sigma(n\pi)$  formation zone uncertainties  $\pi$ -absorption uncertainties yield larger oscillation-parameter systematics
- ◆ **Cross sections and structure functions are modified and parton distribution functions within a nucleus are different than in an isolated nucleon. Observations from an on-going CTEQ analysis.**



# Experimental Studies of (Parton-level) Nuclear Effects with Neutrinos: until recently - essentially NON-EXISTENT



- ◆  $F_2$  / nucleon changes as a function of  $A$ . Specifically measured in  $\mu/e - A$  **not in  $\nu - A$**
- ◆ **Good reason to consider nuclear effects are DIFFERENT in  $\nu - A$ .**
  - ▼ Presence of axial-vector current.
  - ▼ SPECULATION: Stronger shadowing for  $\nu - A$  but somewhat weaker “EMC” effect.
  - ▼ Different nuclear effects for valence and sea --> different shadowing for  $xF_3$  compared to  $F_2$ .

# Addressing the lack of $F_2^{\nu}$ Nuclear Effects Analyses

---

## Nuclear PDFs from neutrino deep inelastic scattering

### nCTEQ

**K. Kovarik (Karlsruhe) I. Schienbein (LPSC-Grenoble),**

**J.-Y. Yu (SMU), C. Keppel (Hampton/JeffersonLab)**

**J.G.M. (Fermilab), F. Olness (SMU), J.F. Owens (Florida State U)**

Also analyses by:

K. Eskola, V. Kolhinen and C. Salgado

and

D. de Florian, R. Sassot, P. Zurita and M. Stratmann

# CTEQ High-x Study: nuclear effects ratio $A/D_2$

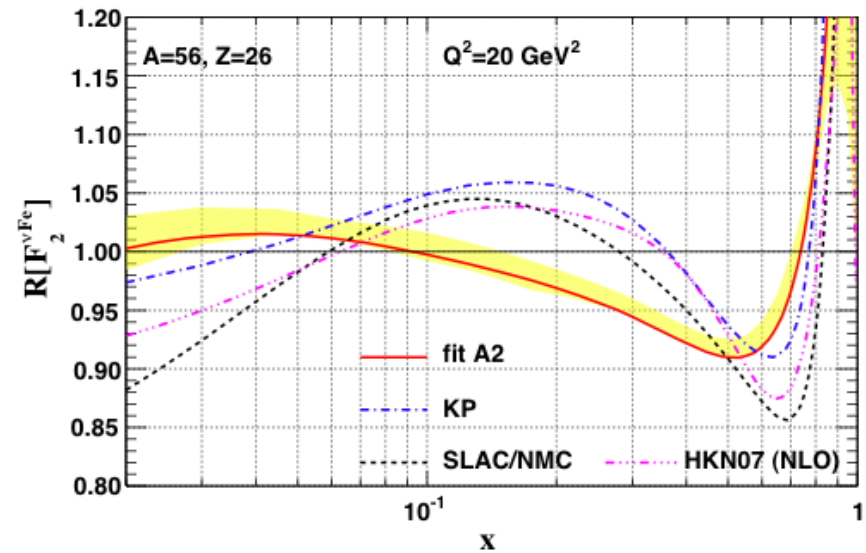
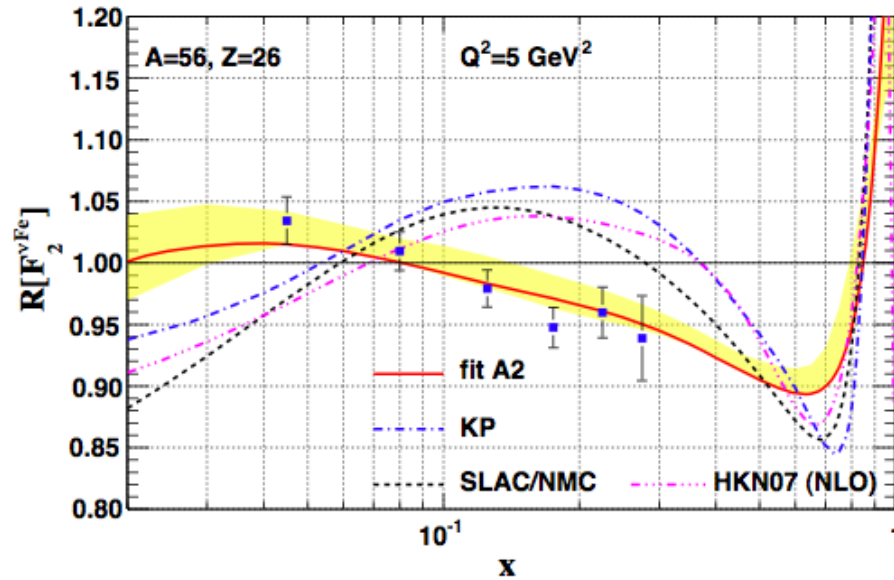
## No high-statistics $D_2$ data – “make it” from PDFs

---

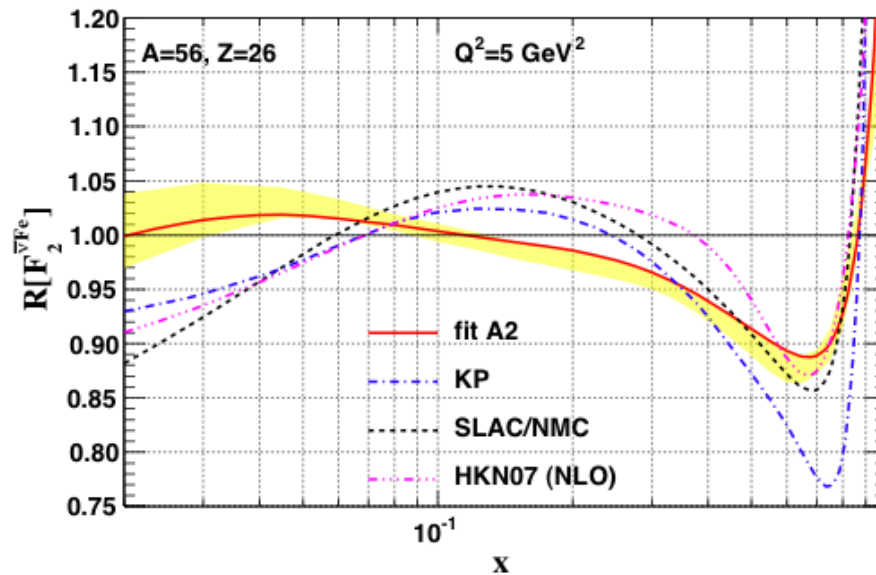
- ◆ Form reference fit mainly nucleon (as opposed to nuclear) scattering results:
  - ▼ BCDMS results for  $F_2^p$  and  $F_2^d$
  - ▼ NMC results for  $F_2^p$  and  $F_2^d/F_2^p$
  - ▼ H1 and ZEUS results for  $F_2^p$
  - ▼ CDF and DØ result for inclusive jet production
  - ▼ CDF results for the W lepton asymmetry
  - ▼ E-866 results for the ratio of lepton pair cross sections for pd and pp interactions
  - ▼ E-605 results for dimuon production in pN interactions.
- ◆ Correct for deuteron nuclear effects

# $F_2$ Structure Function Ratios: $\nu$ -Iron

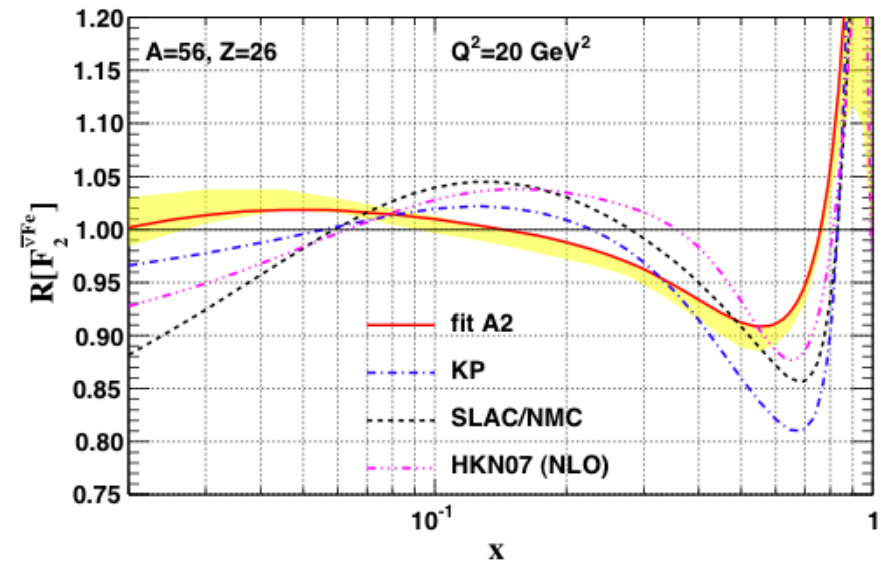
$$\frac{F_2(\nu + \text{Fe})}{F_2(\nu + [n+p])}$$



# $F_2$ Structure Function Ratios: $\bar{\nu}$ -Iron

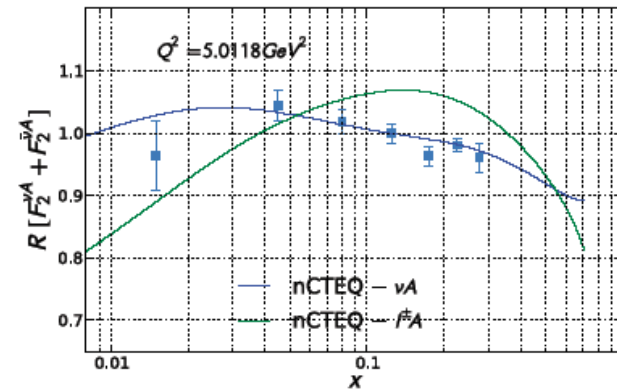
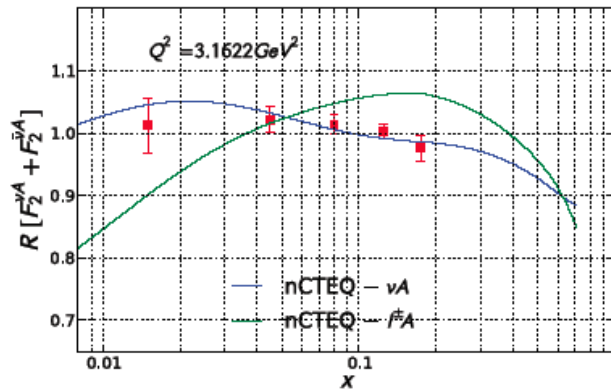
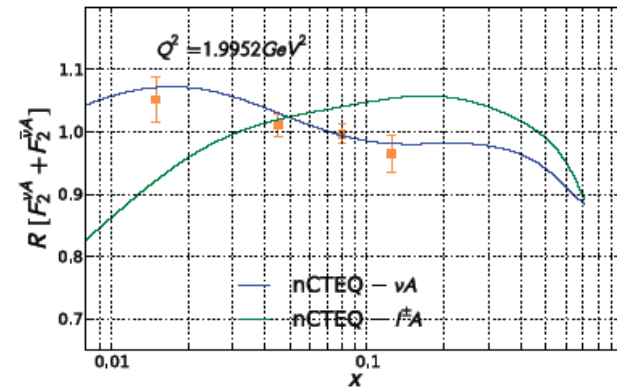
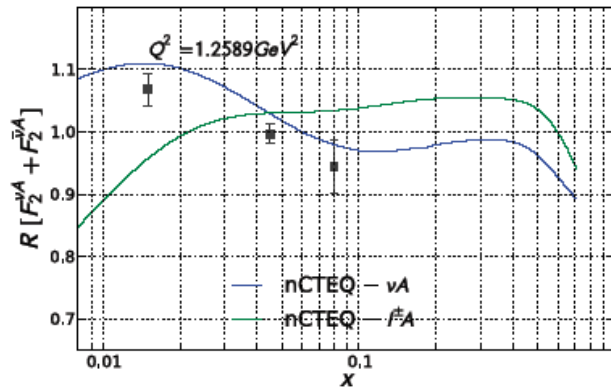


$$\frac{F_2(\nu + \text{Fe})}{F_2(\nu + [n+p])}$$



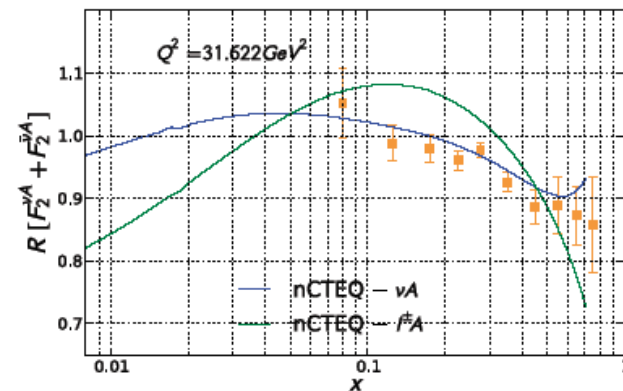
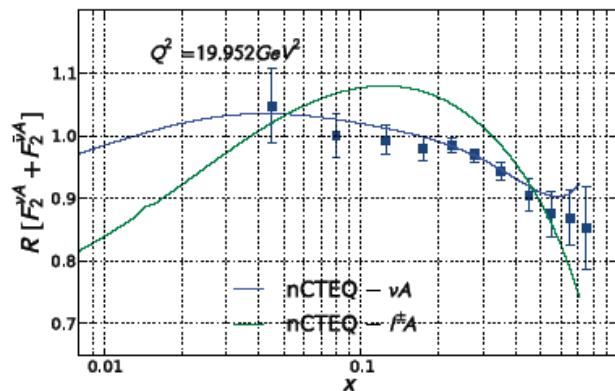
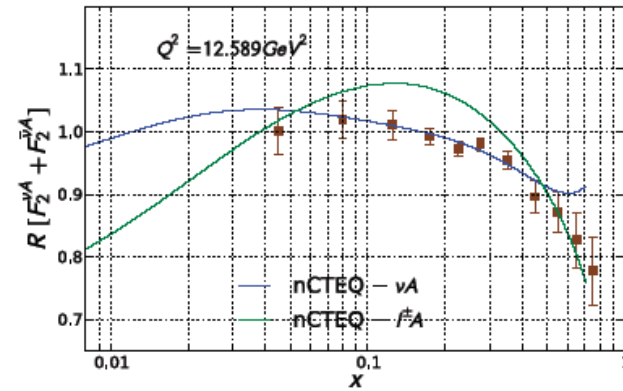
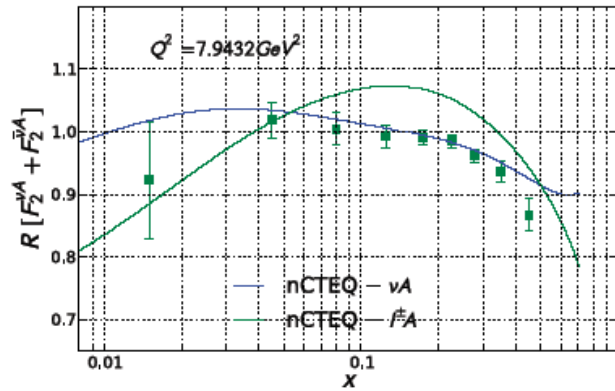
# A More-Detailed Look at Differences

- ◆ NLO QCD calculation of  $\frac{F_2^{\nu A} + F_2^{\bar{\nu} A}}{2}$  in the ACOT-VFN scheme
  - ▼ charge lepton fit undershoots low-x data & overshoots mid-x data
  - ▼ low- $Q^2$  and low-x data cause tension with the shadowing observed in charged lepton data



# A More-Detailed Look at Differences

- ◆ NLO QCD calculation of  $\frac{F_2^{\nu A} + F_2^{\bar{\nu} A}}{2}$  in the ACOT-VFN scheme
  - ▼ charge lepton fit undershoots low-x data & overshoots mid-x data
  - ▼ low- $Q^2$  and low-x data cause tension with the shadowing observed in charged lepton data



# Combined Analysis of $\nu$ A, $\ell$ A and DY data

**Kovarik**, Yu, Keppel, Morfin, Olness, Owens, **Schienbein**, Stavreva

---

- ◆ Take an earlier analysis of  $\ell^\pm$ A data sets (built in A-dependence)
  - ▼ Schienbein, Yu, Kovarik, Keppel, Morfin, Olness, Owens,
  - ▼ PRD80 (2009) 094004
- ◆ For  $\ell^\pm$ A take  $F_2(A) / F_2(D)$  and  $F_2(A) / F_2(A')$  and DY  $\sigma(pA) / \sigma(pA')$ 
  - ▼ 708 Data points with  $Q > 2$  and  $W > 3.5$
- ◆ Use **8 Neutrino data sets**
  - ▼ NuTeV cross section data:  $\nu Fe, \bar{\nu} Fe$
  - ▼ NuTeV dimuon off Fe data
  - ▼ CHORUS cross section data:  $\nu Pb, \bar{\nu} Pb$
  - ▼ CCFR dimuon off Fe data
- ◆ Initial problem, with standard CTEQ cuts of  $Q > 2$  and  $W > 3.5$   
**neutrino data points (3134) far outnumber  $\ell^\pm$ A (708).**



# Try to Find a Simultaneous Fit to Both $\ell^\pm$ and $\nu$

## Quantitative $\chi^2$ Analysis of a Combined Fit

- ◆ Up to now we are giving a qualitative analysis. Consider next quantitative criterion based on  $\chi^2$
- ◆ Introduce “tolerance” (T). Condition for compatibility of two fits: The 2nd fit  $\chi^2$  should be within the 90% C.L. region of the first fit  $\chi^2$
- ◆ Charged:  $638.9 \pm 45.6$  (best fit to charged lepton and DY data)
- ◆ Neutrino:  $4192 \pm 138$  (best fit to only neutrino data)

Weight	Fit name	$\ell$ data	$\chi^2$	$\nu$ data	$\chi^2$	total $\chi^2$ (/pt)
$w = 0$	decut3	708	639	-	nnnn <b>NO</b>	639 (0.90)
$w = 1/7$	glofac1a	708	645 <b>YES</b>	3134	4710 <b>NO</b>	5355 (1.39)
$w = 1/4$	glofac1c	708	654 <b>YES</b>	3134	4501 <b>NO</b>	5155 (1.34)
$w = 1/2$	<b>glofac1b</b>	708	680 <b>YES</b>	3134	4405 <b>NO***</b>	5085 (1.32)
$w = 1$	global2b	708	736 <b>NO</b>	3134	4277 <b>YES</b>	5014 (1.30)
$w = \infty$	nuanua1	-	nnn <b>NO</b>	3134	4192	4192 (1.33)

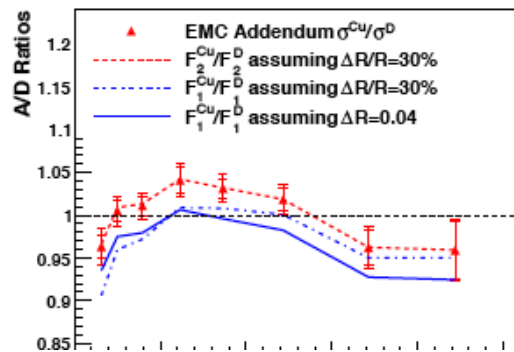
## Others Do NOT Find this Difference between $\bar{\nu}$ and $\nu$

---

- ◆ The analyses of K. Eskola et al. and D. de Florian et al. do not find this difference between  $\bar{\nu}$ -A and  $\nu$ -A scattering.
- ◆ They do not use the full covariant error matrix rather adding statistical and systematic errors in quadrature.
- ◆ They do not use the full double differential cross section rather they use the extracted structure functions which involve assumptions:
  - ▼ Assume a value for  $\Delta x F_3$  ( $= F_3^{\nu} - F_3^{\bar{\nu}}$ ) from theory.
  - ▼ Assume a value for  $R = F_L / F_T$ .
- ◆ If nCTEQ makes these same assumptions, than a combined solution of  $\bar{\nu}$ -A and  $\nu$ -A scattering can be found.

## If Difference between both $\nu^\pm$ -A and $\bar{\nu}$ -A persists?

- ◆ In neutrino scattering, low- $Q^2$  is dominated by the (PCAC) part of the axial-vector contribution of the longitudinal structure function  $F_L$ .
- ◆ Shadowing is led by  $F_T$  and the shadowing of  $F_L$  lags at lower  $x$ .



V. Guzey et al. arXiv 1207.013

- ▼  $F_1$  (Blue) is purely transverse and  $F_2$  (Red) is a sum of  $F_T$  ( $F_1$ ) and  $F_L$
- ◆ This could be a contributing factor to such a difference.
- ◆ Another idea also from Guzey and colleagues is the observation that (in leading order):
 
$$\frac{d\sigma^{\nu A}}{dx dy} = \frac{G_F^2 M_W^4}{(Q^2 + M_W^2)^2} \frac{ME}{\pi} 2x [d^A + s^A + (1-y)^2(\bar{u}^A + \bar{c}^A)]$$

$$\frac{d\sigma^{\bar{\nu} A}}{dx dy} = \frac{G_F^2 M_W^4}{(Q^2 + M_W^2)^2} \frac{ME}{\pi} 2x [\bar{d}^A + \bar{s}^A + (1-y)^2(u^A + c^A)]$$
- ▼ In the shadowing region at low- $x$ ,  $y$  is large and the  $\sigma_\nu$  are primarily probing the d- and s-quarks. If shadowing of the d and/or s quark negligible could contribute to the result.

## Summary and Conclusions

---

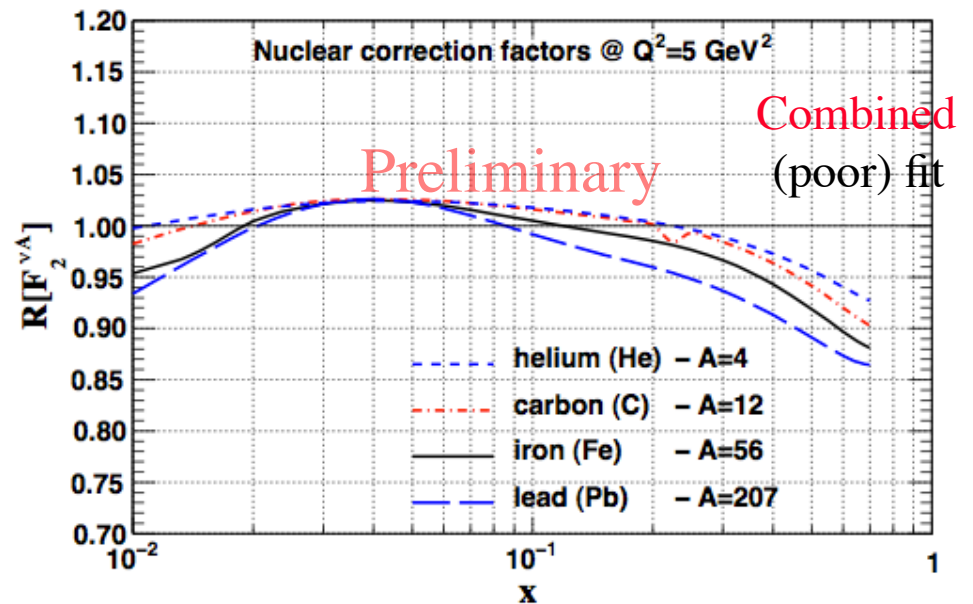
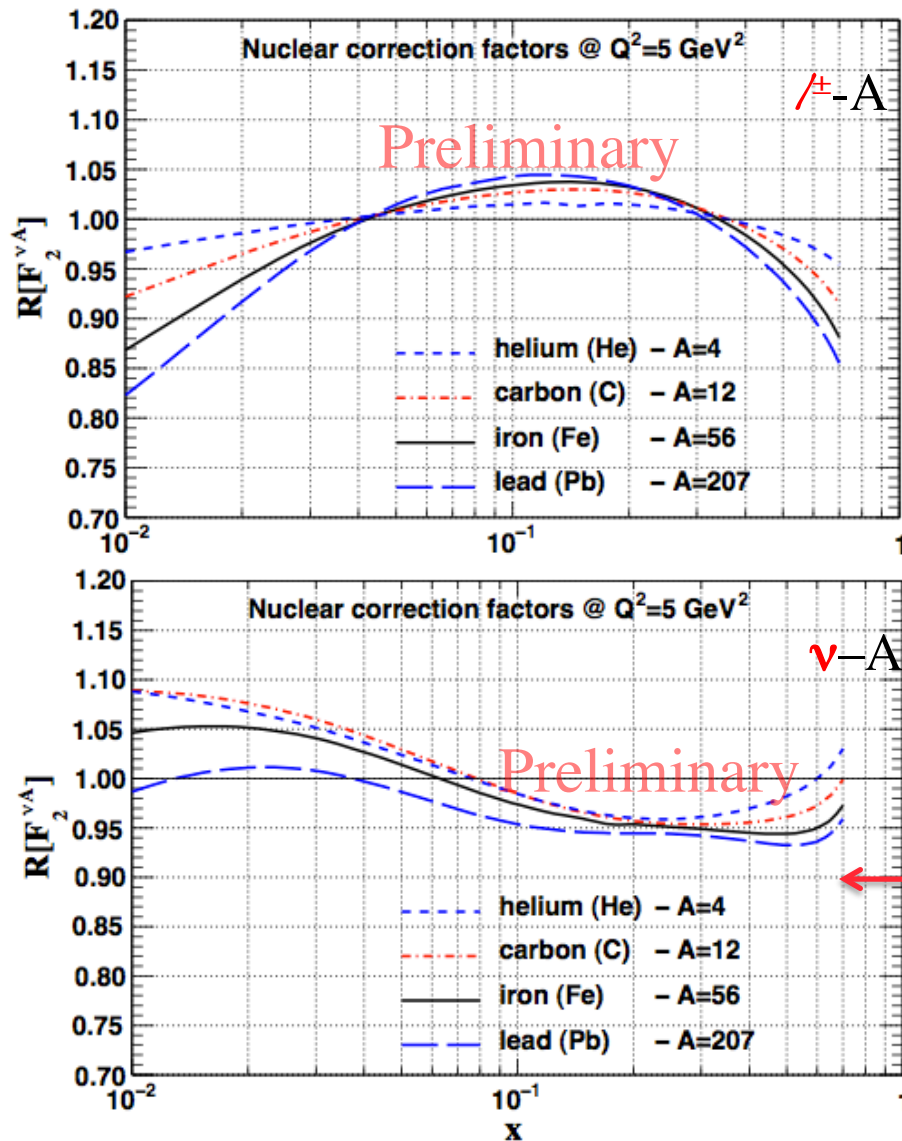
- ◆ CTEQ-Jlab studies of high- $x$  PDFs hampered by large uncertainty in nuclear theory of deuterium – larger  $d$ -quark as  $x \rightarrow 1$  favored.
- ◆ nCTEQ finds indications from **one** experiment using **one** nucleus that  **$\nu$ -induced parton-level nuclear effects are different** than  $\ell^\pm$ -nuclear effects.
  - ▼ Based on nuclear corrections factors  $R$  and the tolerance criterion, there is no good compromise fit to the  $\ell^\pm A + DY + \nu A$  data.
- ◆ If these differences between  $\ell^\pm A$  and  $\nu A$  scattering persist in shadowing region, the difference may (partially?) be due to the large contribution of  $F_L$  at low  $Q^2$  in  $\nu A$  scattering and/or shadowing of the strange quark.
- ◆ Need systematic **experimental** study of  **$\nu$ -induced nuclear effects in  $A$  and  $D_2$  such as MINER $\nu A$  in the ME Beam.**

# Additional Details

---

# What could MINERvA Contribute?

## Preliminary Predictions for MINERvA Targets



Careful! Based on analysis of NuTeV  $\nu$ -Fe results and scaled in A as **charged lepton nucleus** scattering results!

(Karol Kovarik – Karlsruhe)

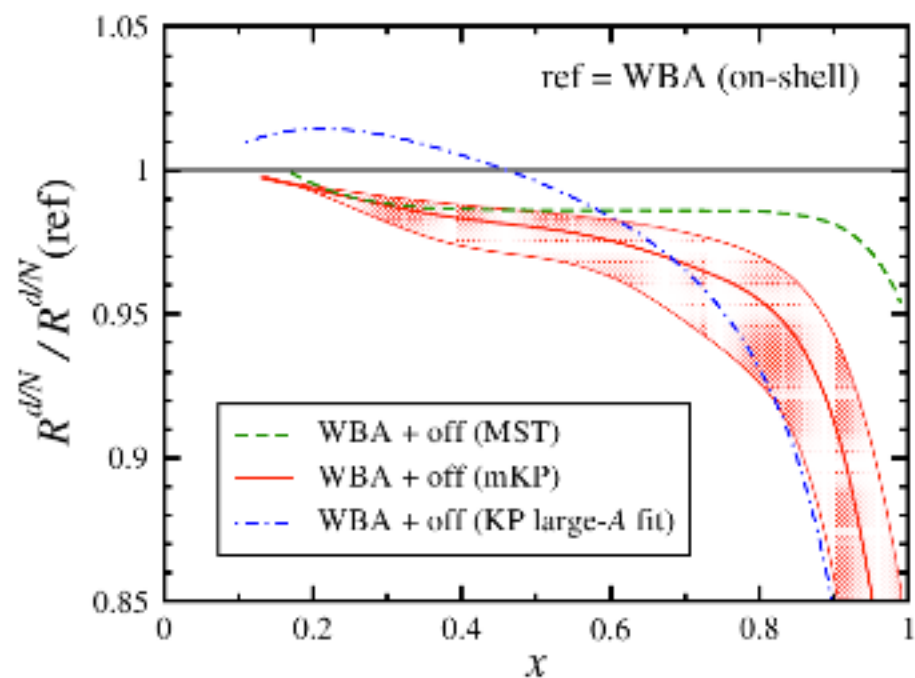
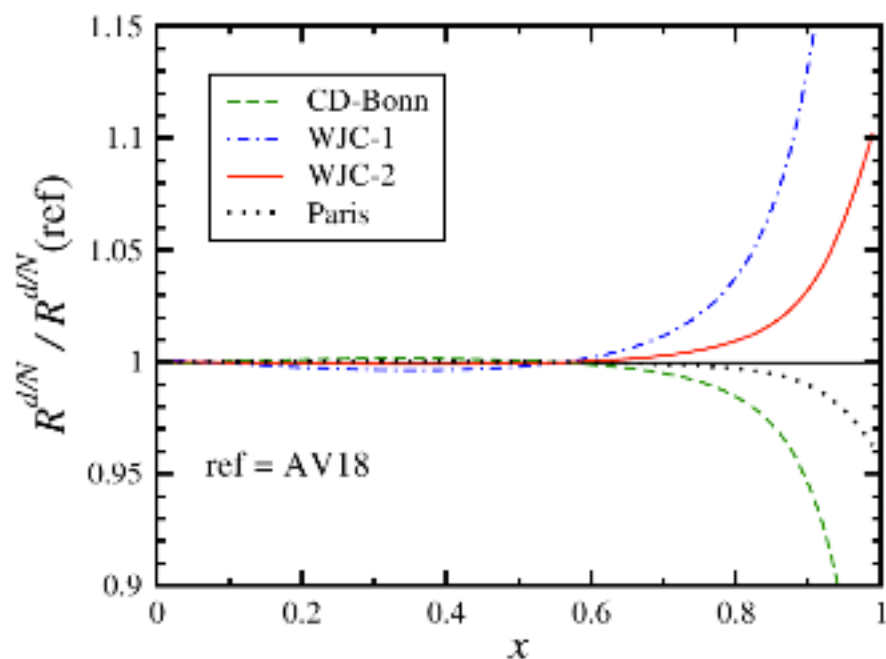
# Nuclear corrections – theoretical uncertainty

$$F_{2d}(x_B, Q^2) = \int_{x_B}^A dy \mathcal{S}_A(y, \gamma) F_2^{TMC+HT}(x_B/y, Q^2) \left( 1 + \frac{\delta^{off} F_2(x)}{F_2(x)} \right)$$

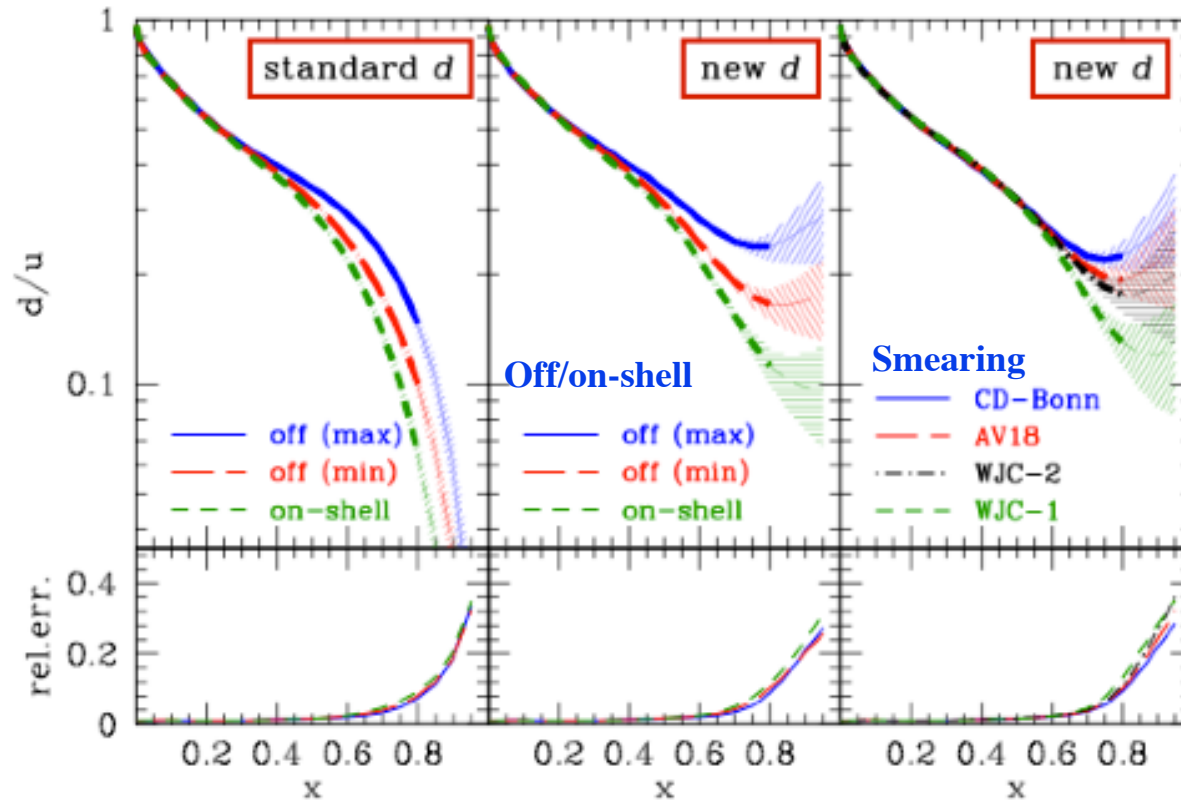
Free nucleon str.fn.

“Smearing function”

Off-shell correction



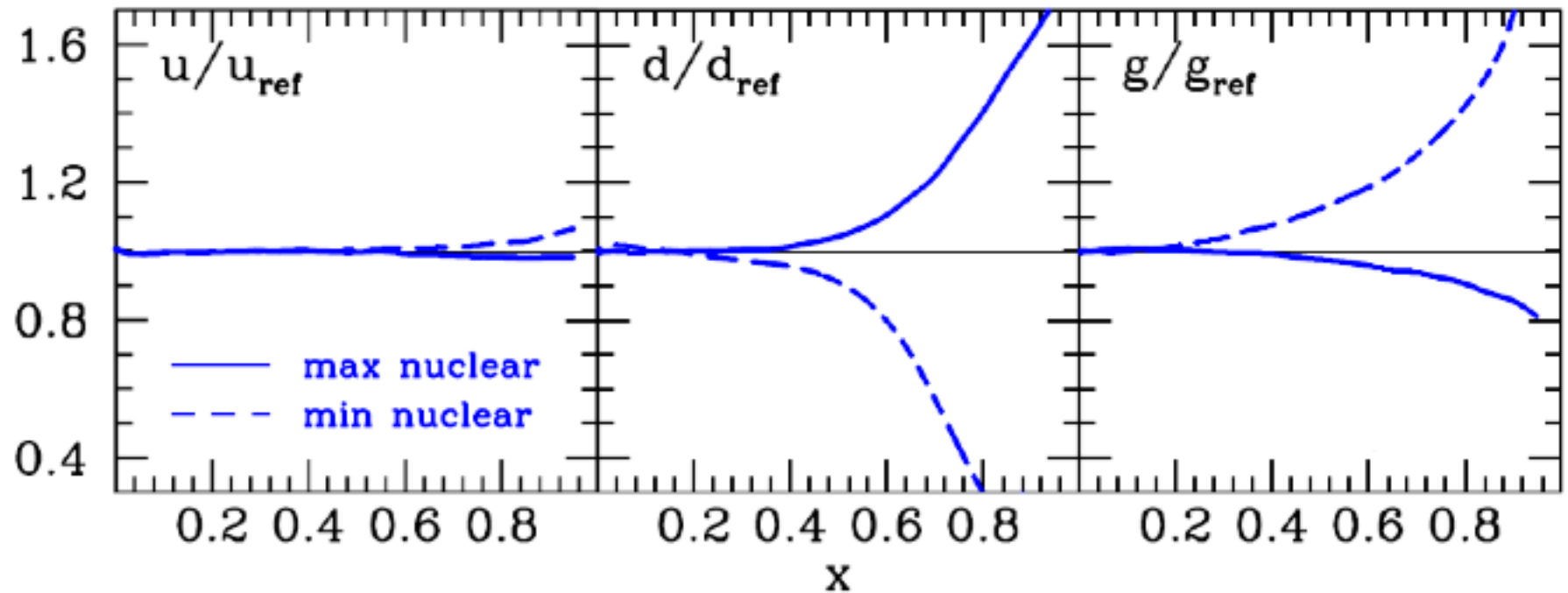
# CJ fits: new d-quark results



- ◆ Dramatic increase in  $d$  PDF in  $x \rightarrow 1$  limit with more flexible parameterization



# Nuclear Model Systematic Errors



*Accardi et al. PRD 84, 014008 (2011)*

- ◆ Large sensitivity to the model for nuclear corrections
  - ▼ d-quarks: directly - due to corrections applied to  $F_2(d)$
  - ▼ gluons: indirectly- due to correlations induced by jet data

# Kulagin-Petti Model of Nuclear Effects

hep-ph/0412425

- ◆ Global Approach -aiming to obtain quantitative calculations covering the complete range of  $x$  and  $Q^2$  available with thorough physics basis for fit to data.
- ◆ Different effects on structure functions (SF) are taken into account:

$$F_i^A = F_i^{p/A} + F_i^{n/A} + F_i^{\pi/A} + \delta F_i^{\text{coh}}$$

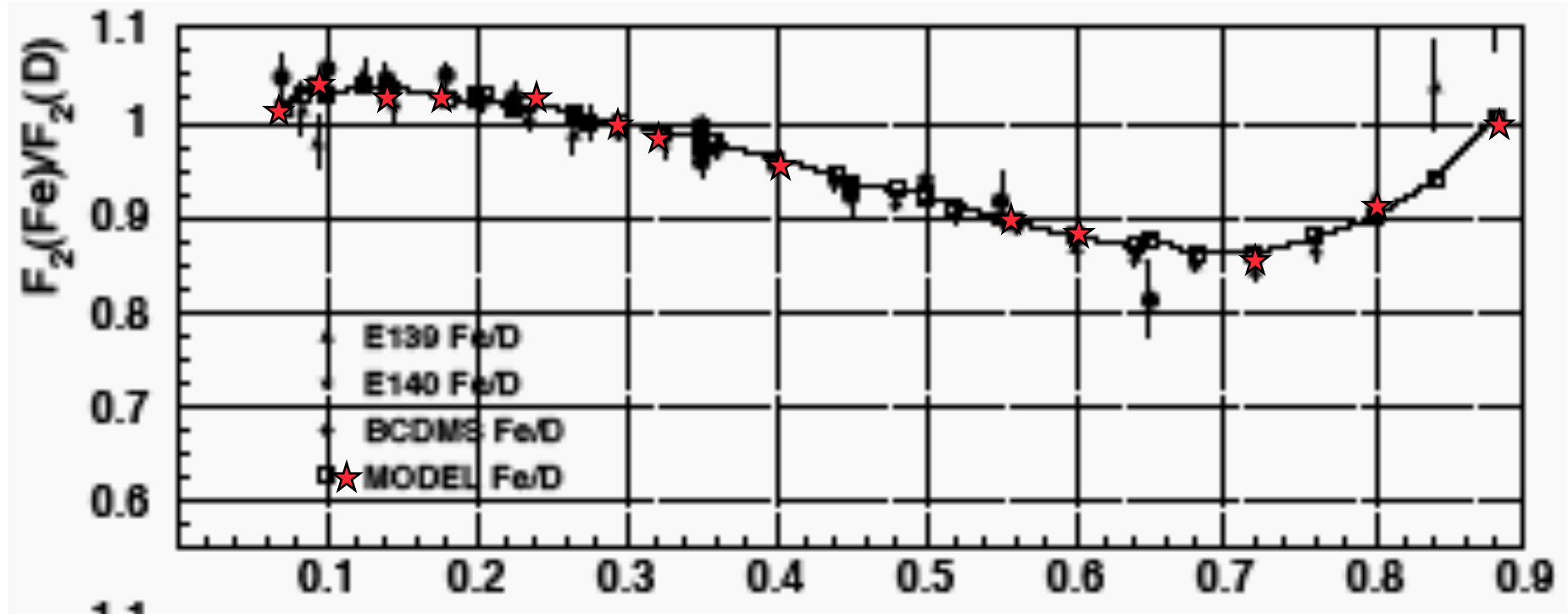
- $F_i^{p(n)/A}$  bound proton(neutron) SF with *Fermi Motion, Binding (FMB) and Off-Shell effect (OS)*
  - $F_i^{\pi/A}$  *nuclear Pion excess correction (PI)*
  - $\delta F_i^{\text{coh}}$  *contribution from coherent nuclear interactions: Nuclear Shadowing (NS)*
- ◆ **Fermi Motion** and **Binding** in nuclear structure functions is calculated from the convolution of nuclear spectral function and (bound) nucleon SFs:
  - ◆ Since bound nucleons are off-mass shell there appears dependence on the nucleon virtuality  $\kappa^2 = (M + \epsilon)^2 - k^2$  where we have introduced an **off-shell structure function  $\delta f_2(x)$**

$$F_2(x, Q^2, k^2) = F_2(x, Q^2) \left( 1 + \delta f_2(x) (k^2 - M^2)/M^2 \right)$$

- ◆ Leptons can scatter off mesons which mediate interactions among bound nucleons yielding a **nuclear pion correction**

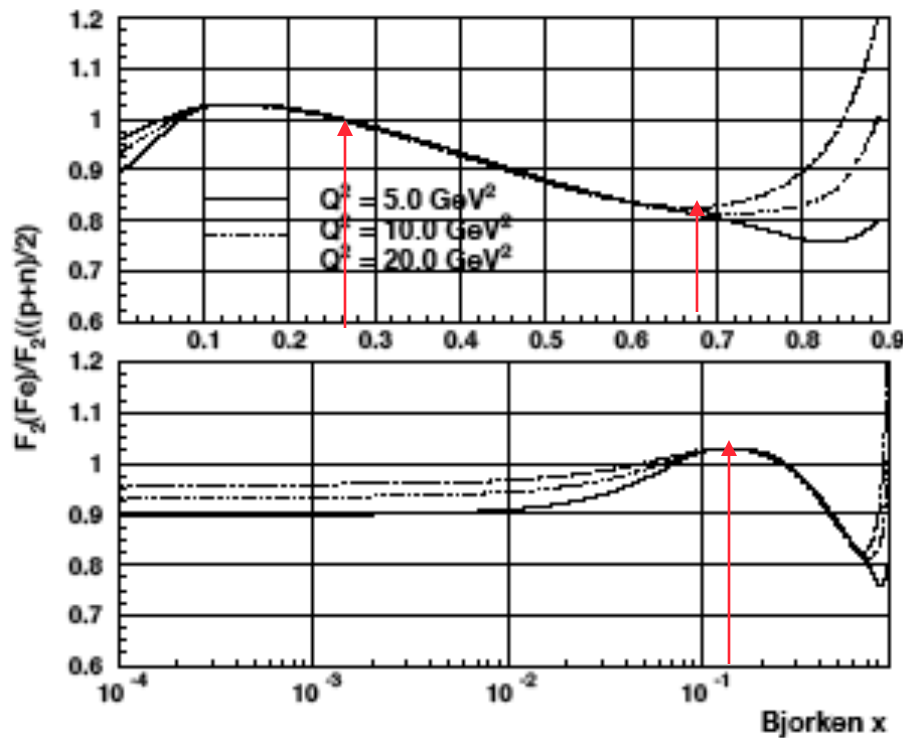
# Kulagin-Petti compared to e/ $\mu$ +Fe data

$$F_2(e/\mu+\text{Fe}) / F_2(e/\mu+\text{D})$$

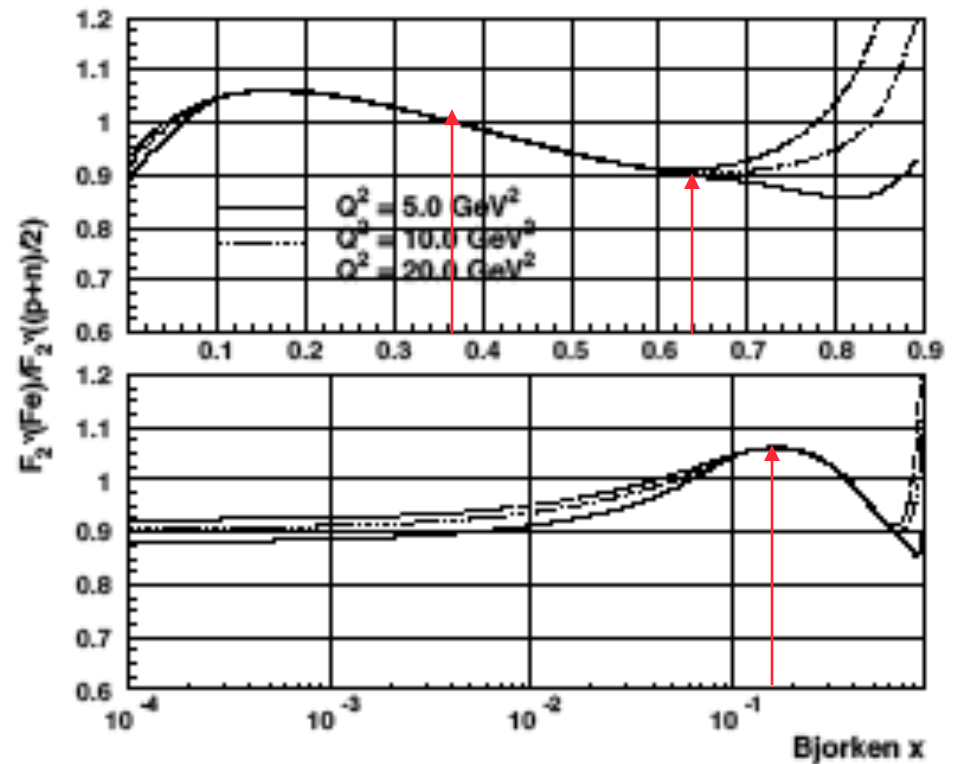


Charged Lepton

$F_2(\mu + \text{Fe}) / F_2(\mu + \text{N})$  compared to  
 $F_2(\nu + \text{Fe}) / F_2(\nu + \text{N})$



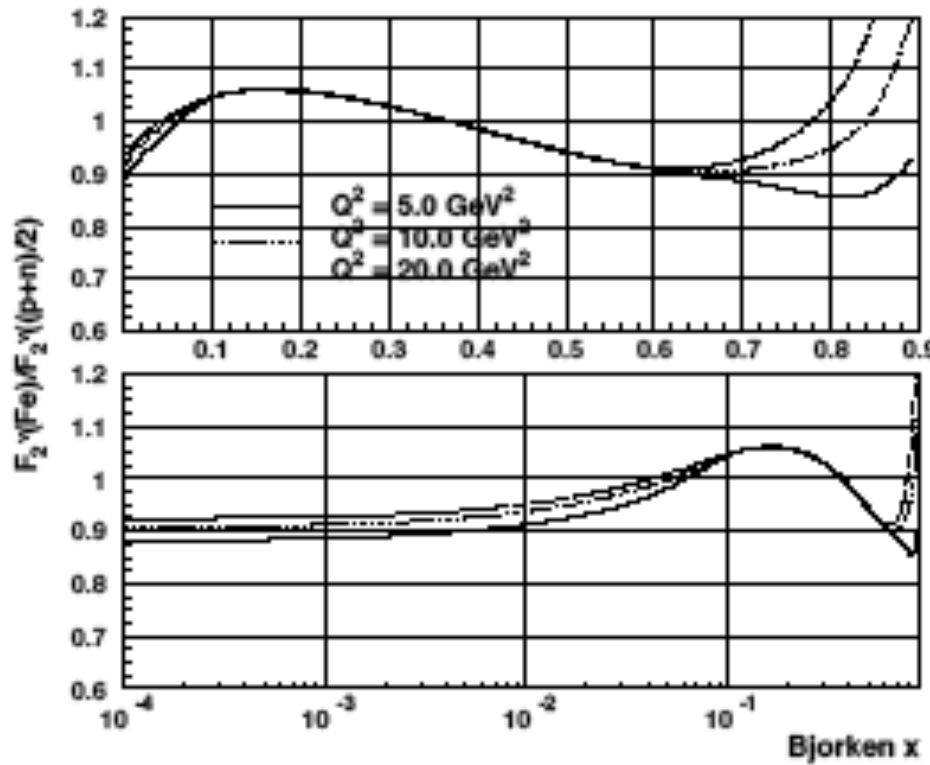
Charged Lepton



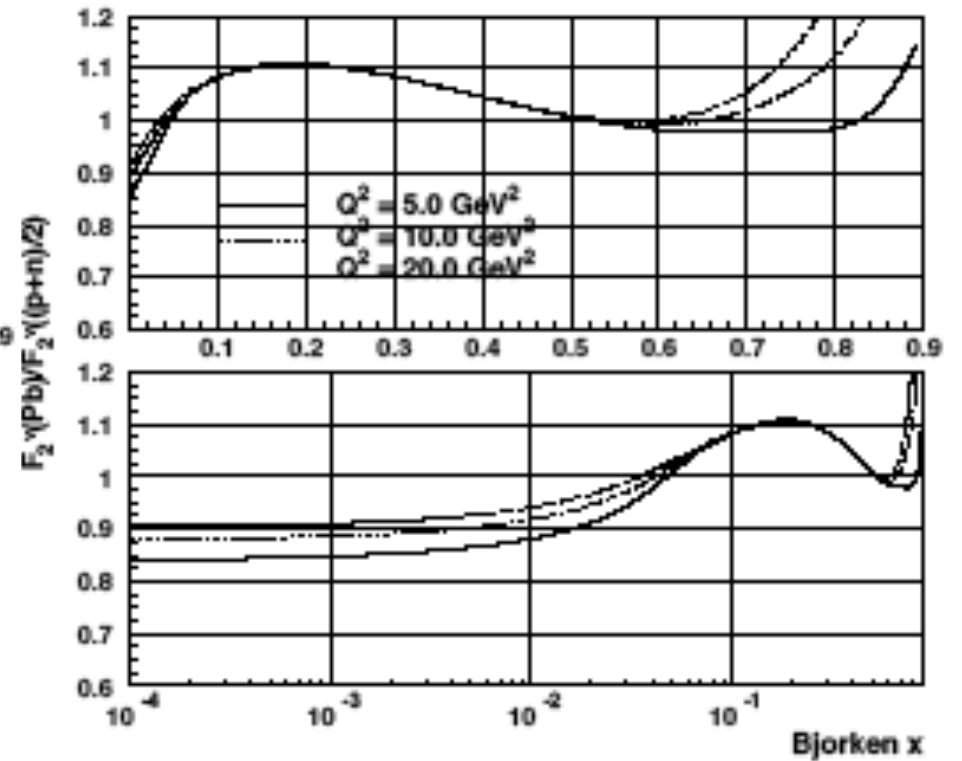
Neutrino

$$F_2(\nu+A) / F_2(\nu+N)$$

(n excess included in effect)

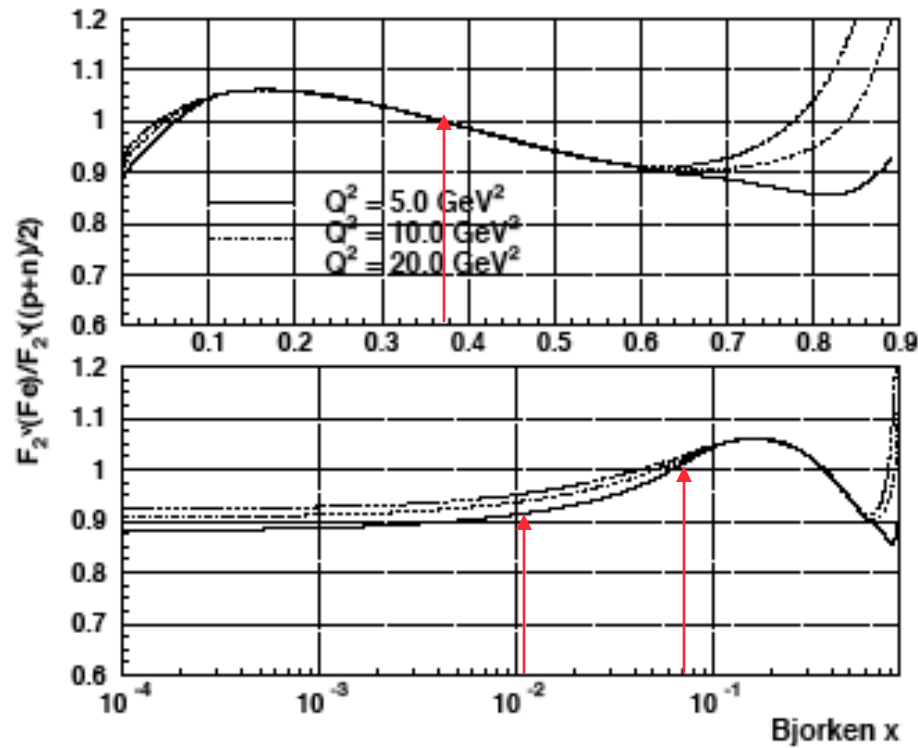


Fe

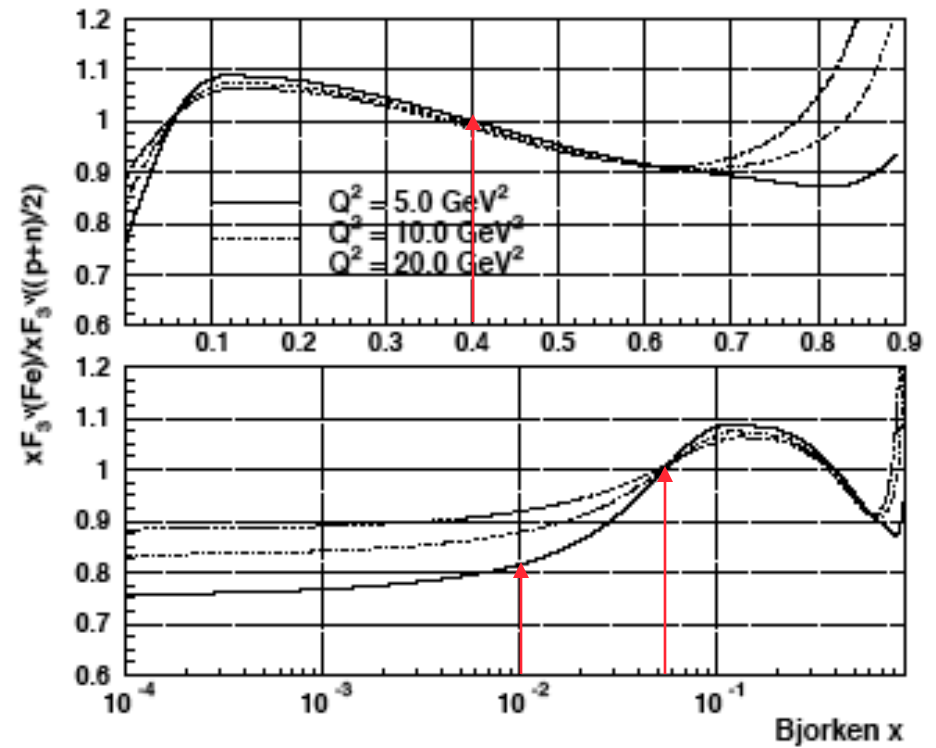


Pb

# Kulagin-Petti: $\nu$ -Fe Nuclear Effects



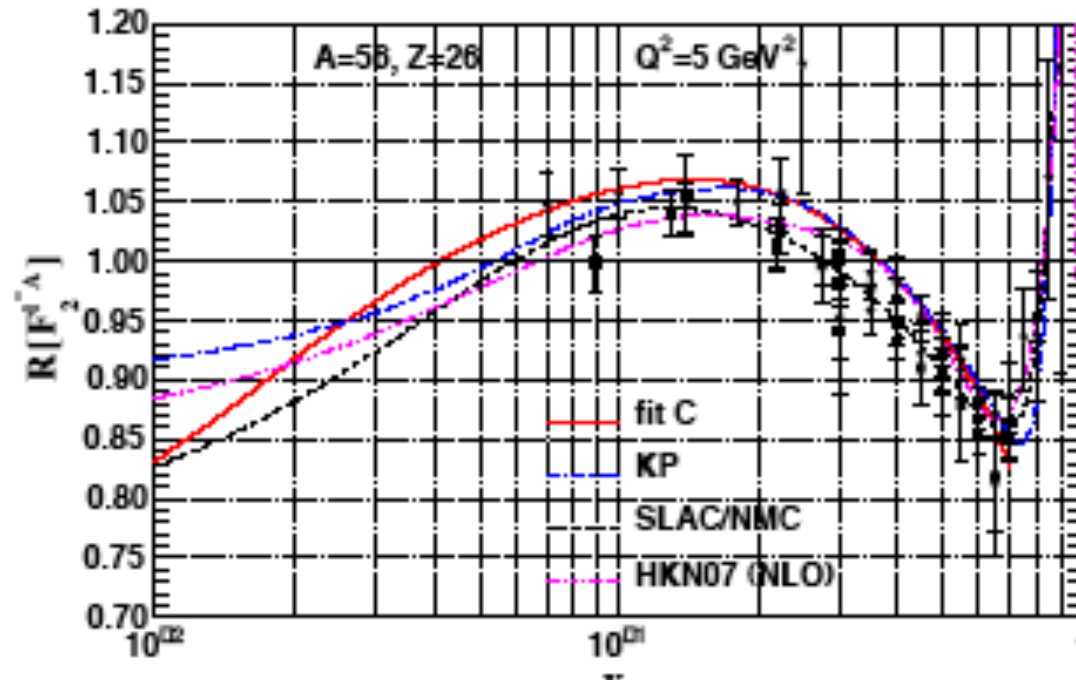
$F_2$



$xF_3$

# Nuclear Structure Function Corrections

## $\ell^\pm$ (Fe/D<sub>2</sub>)

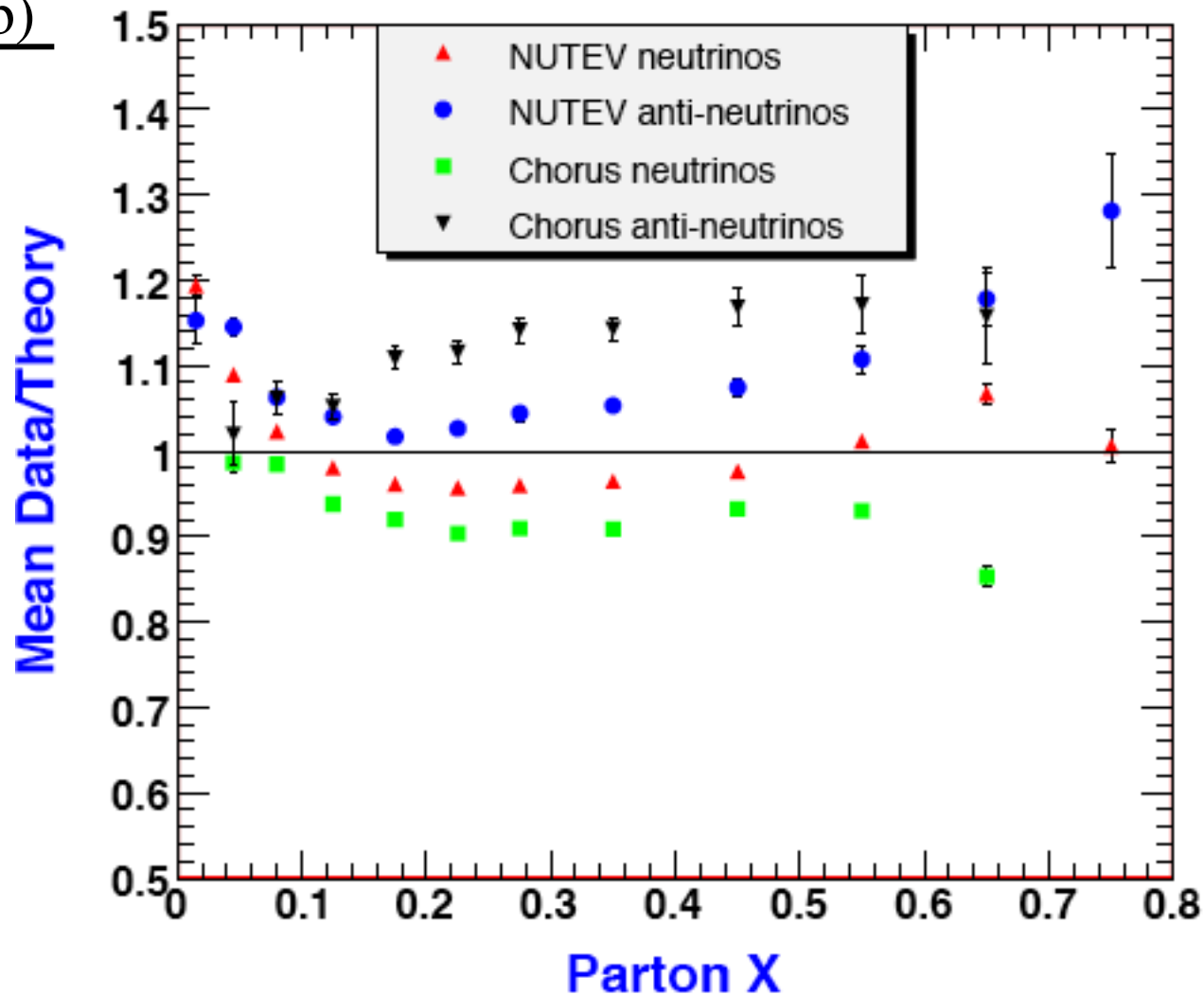


- ◆  $F_2$  / nucleon changes as a function of  $A$ . Measured in  $\mu/e - A$ , not in  $\nu - A$
- ◆ Good reason to consider nuclear effects are DIFFERENT in  $\nu - A$ .
  - ▼ Presence of axial-vector current.
  - ▼ Different nuclear effects for valance and sea --> different shadowing for  $xF_3$  compared to  $F_2$ .

# NuTeV $\sigma(\text{Fe})$ & CHORUS $\sigma(\text{Pb})$ $\nu$ scattering (un-shifted) results compared to reference fit

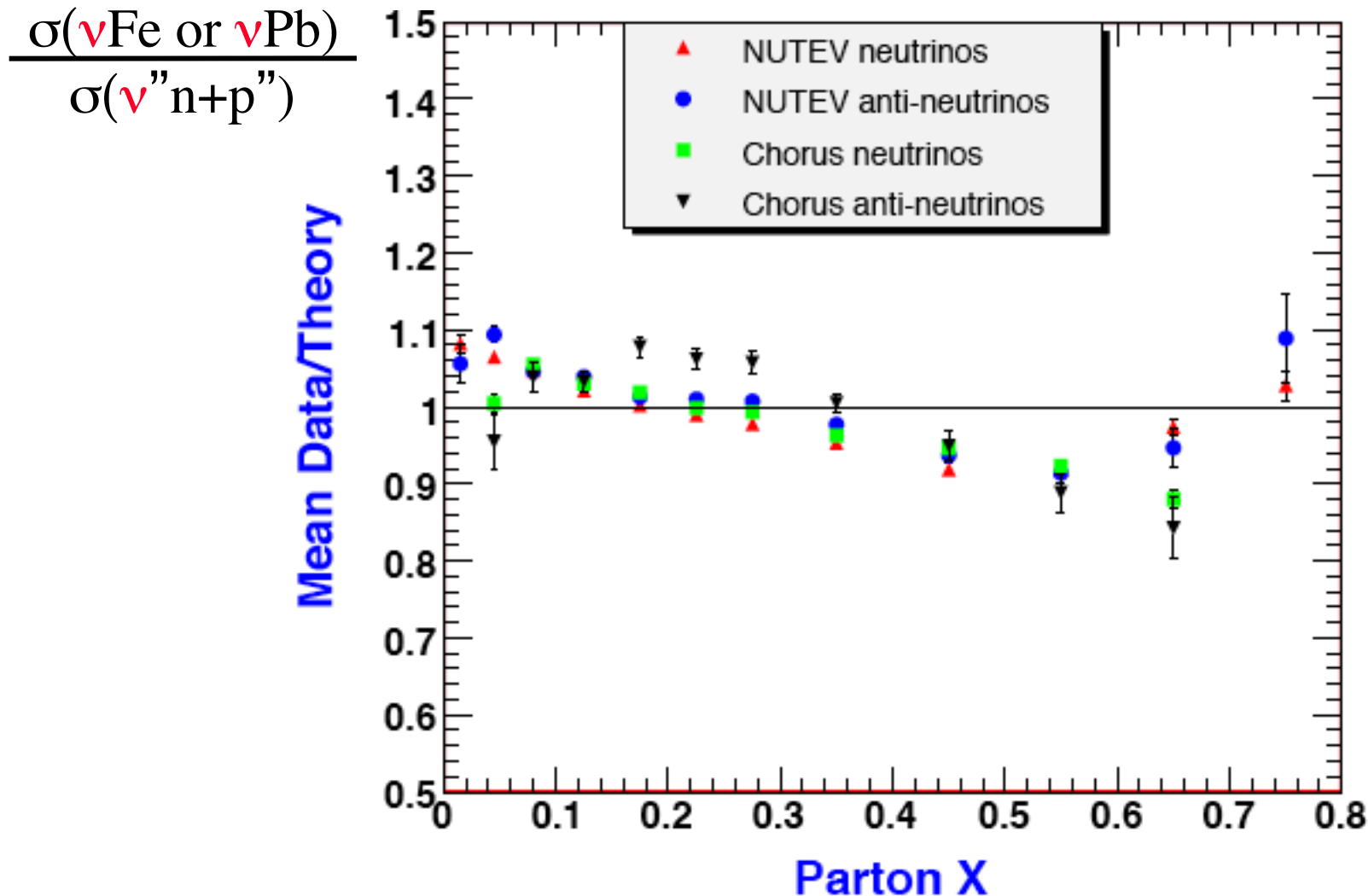
**Kulagin-Petti nuclear corrections**

$$\frac{\sigma(\text{Fe or Pb})}{\sigma(n+p)}$$





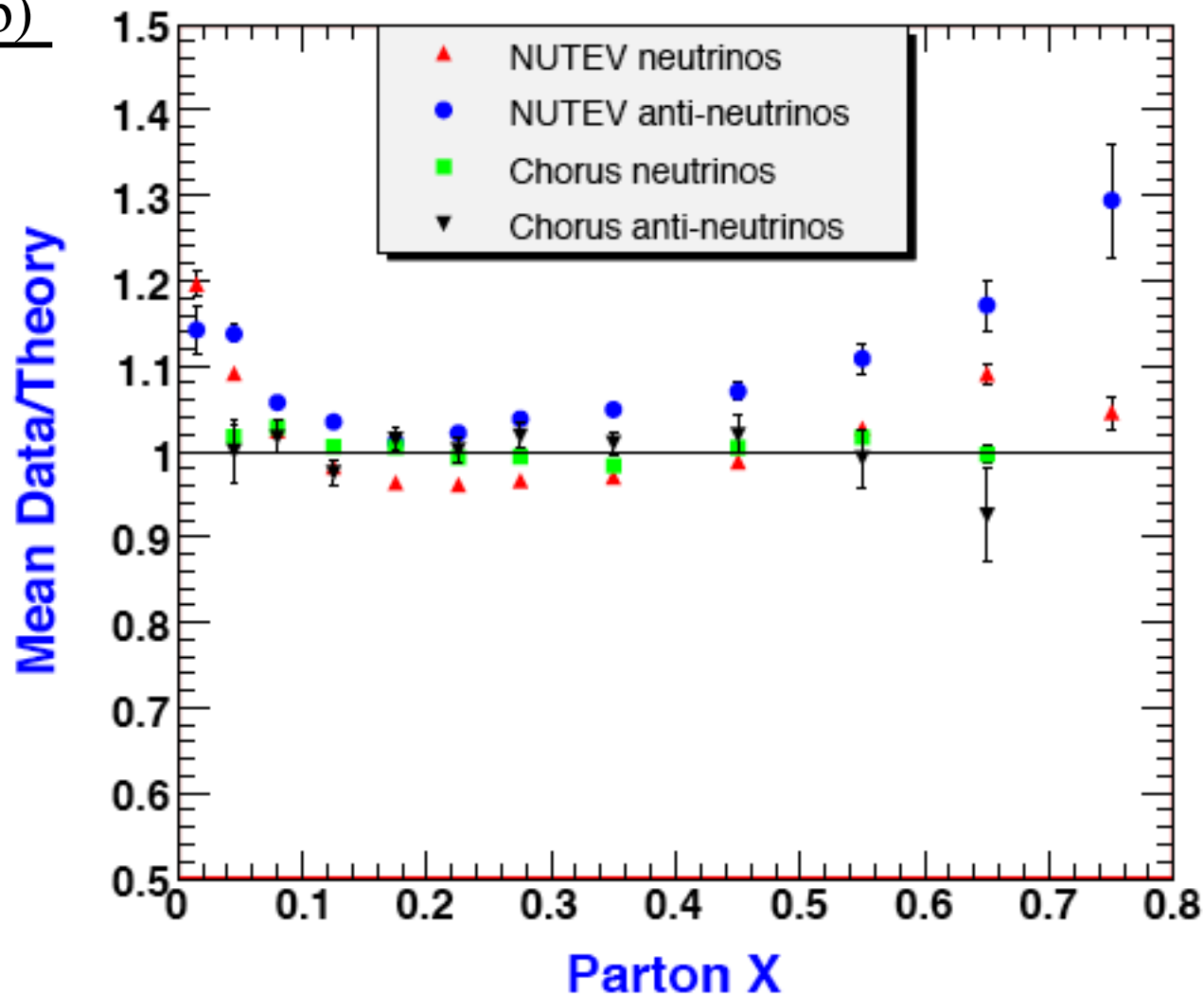
# NuTeV(Fe) and CHORUS (Pb) $\nu$ scattering (unshifted) $\sigma$ results compared to reference fit **no nuclear corrections**



# NuTeV $\sigma(\text{Fe})$ & CHORUS $\sigma(\text{Pb})$ $\nu$ scattering (shifted) results compared to reference fit

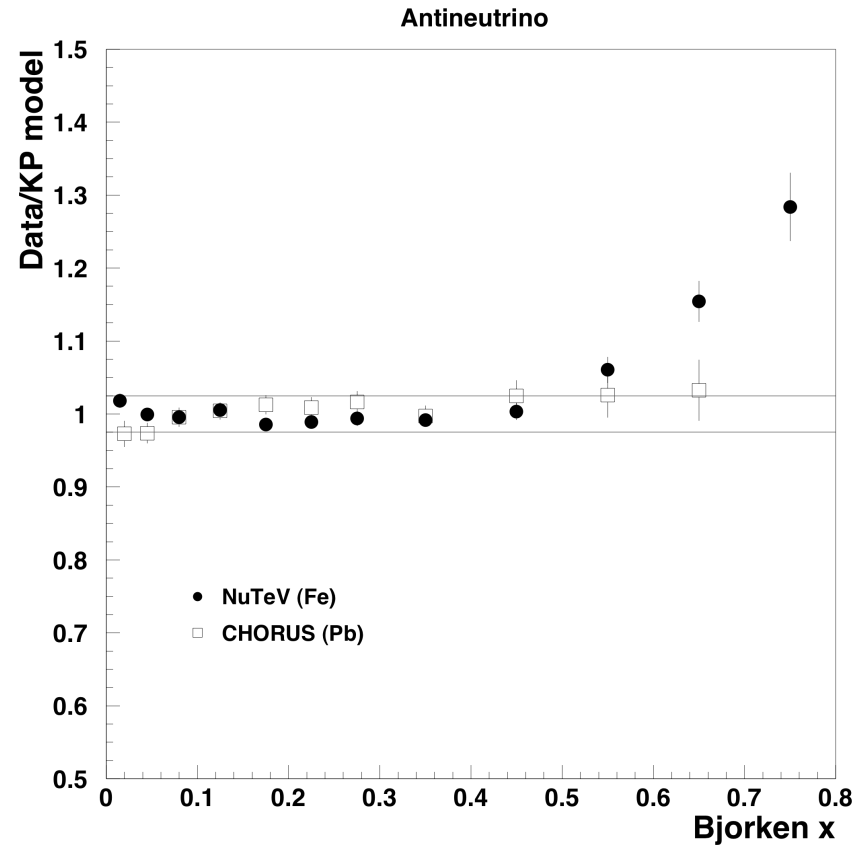
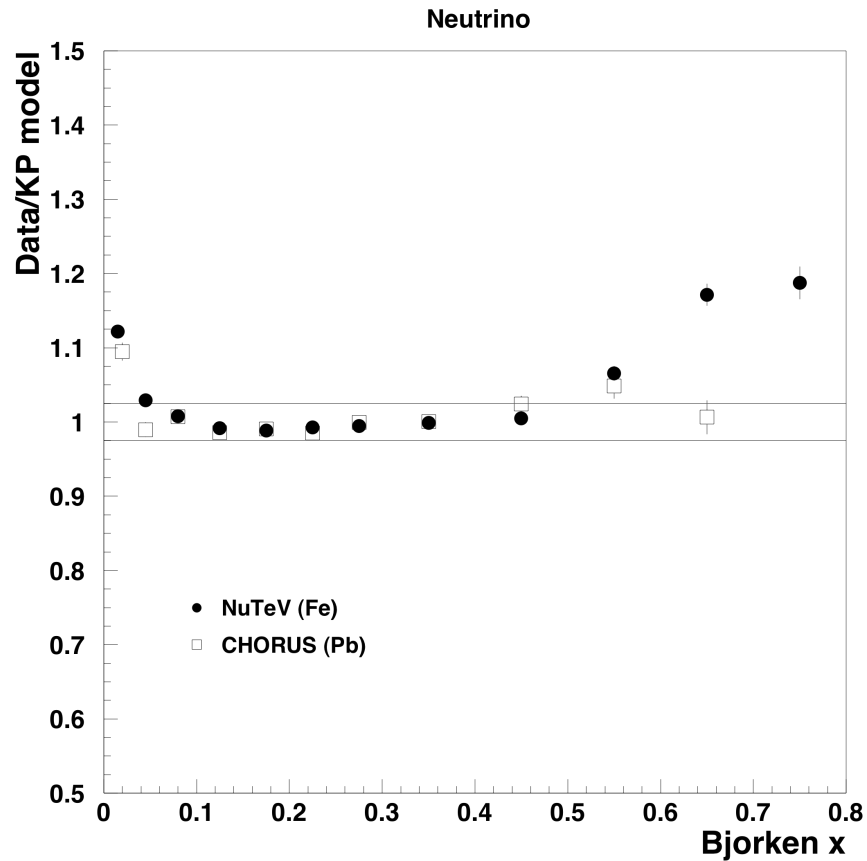
**Kulagin-Petti nuclear corrections**

$$\frac{\sigma(\text{Fe or Pb})}{\sigma(n+p)}$$



# Comparison of Data to the Kulagin-Petti Model

thanks to Roberto Petti



# Extraction of Nuclear PDFs and Nuclear Correction Factors from $\nu$ -A Scattering

---

- ◆ PDF Parameterized at  $Q_0 = 1.3$  GeV as

$$xf_i(x, Q_0) = \begin{cases} A_0 x^{A_1} (1-x)^{A_2} e^{A_3 x} (1+e^{A_4 x})^{A_5} & : i = u_v, d_v, g, \bar{u} + \bar{d}, s, \bar{s}, \\ A_0 x^{A_1} (1-x)^{A_2} + (1+A_3 x)(1-x)^{A_4} & : i = \bar{d}/\bar{u}, \end{cases}$$

- ◆ PDFs for a nucleus are constructed as:

$$f_i^A(x, Q) = \frac{Z}{A} f_i^{p/A}(x, Q) + \frac{(A-Z)}{A} f_i^{n/A}(x, Q)$$

- ◆ Resulting in nuclear structure functions:

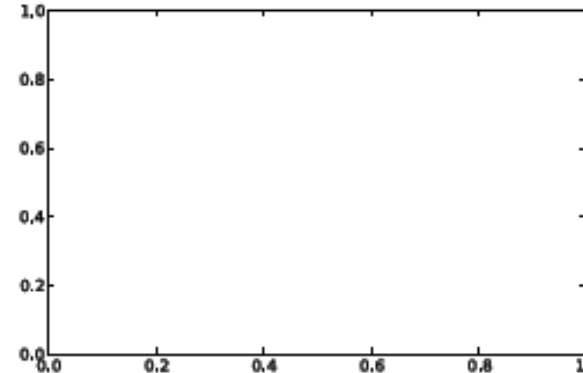
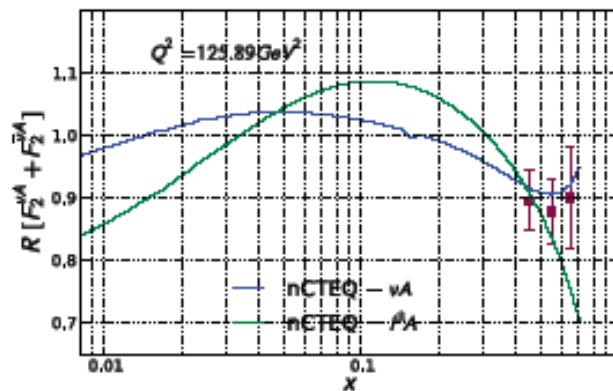
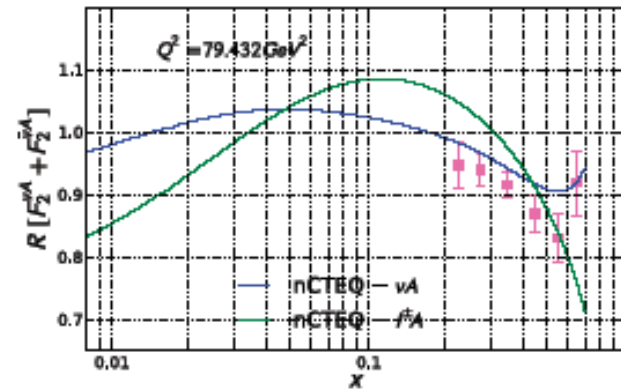
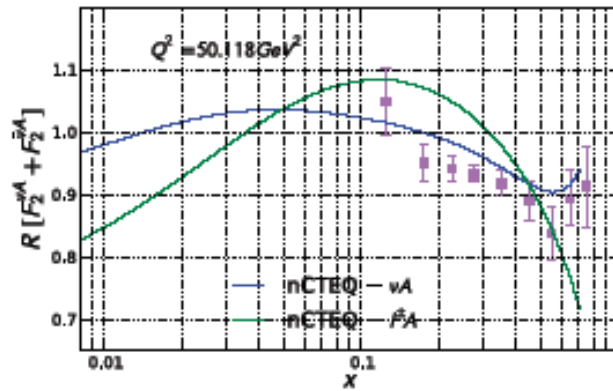
$$F_i^A(x, Q) = \frac{Z}{A} F_i^{p/A}(x, Q) + \frac{(A-Z)}{A} F_i^{n/A}(x, Q)$$

- ◆ The differential cross sections for CC scattering off a nucleus::

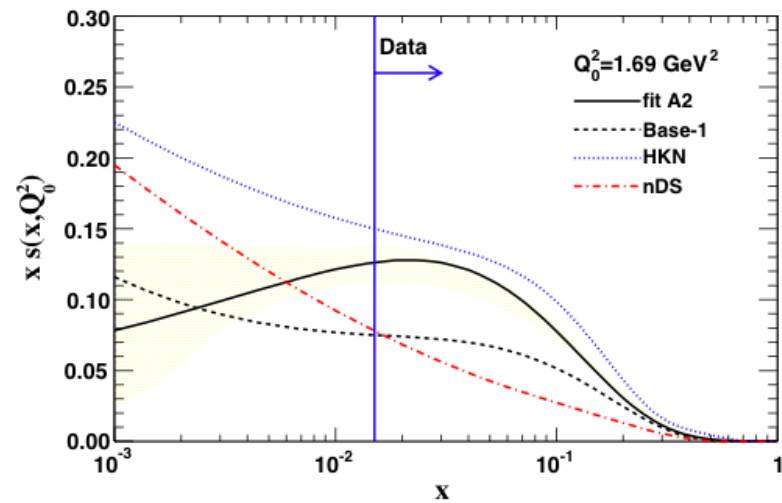
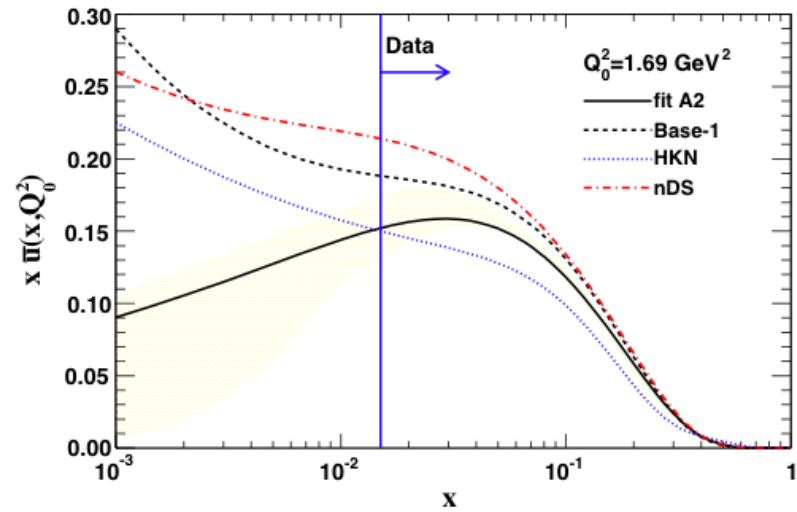
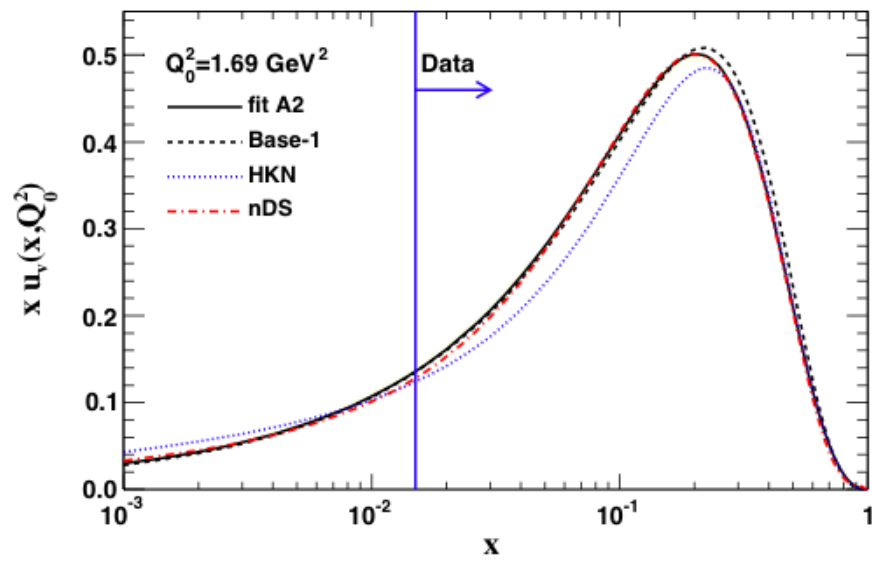
$$\begin{aligned} \frac{d^2\sigma}{dx dy}^{(\bar{\nu})A} &= \frac{G^2 M E}{\pi} \left[ \left(1 - y - \frac{Mxy}{2E}\right) F_2^{(\bar{\nu})A} \right. \\ &\quad \left. + \frac{y^2}{2} 2xF_1^{(\bar{\nu})A} \pm y\left(1 - \frac{y}{2}\right) xF_3^{(\bar{\nu})A} \right] \end{aligned}$$

## A More-Detailed Look at Differences

- ◆ NLO QCD calculation of  $\frac{F_2^{\nu A} + F_2^{\bar{\nu} A}}{2}$  in the ACOT-VFN scheme
  - ▼ charge lepton fit undershoots low-x data & overshoots mid-x data
  - ▼ low- $Q^2$  and low-x data cause tension with the shadowing observed in charged lepton data



# Iron PDFs



# If Difference between both $\ell^\pm$ -A and $\nu$ -A persists?

- ◆ Another idea also from Guzey and colleagues is the observation that (in leading order):

$$\frac{d\sigma^{\nu A}}{dx dy} = \frac{G_F^2 M_W^4}{(Q^2 + M_W^2)^2} \frac{ME}{\pi} 2x [d^A + s^A + (1-y)^2(\bar{u}^A + \bar{c}^A)]$$

$$\frac{d\sigma^{\bar{\nu} A}}{dx dy} = \frac{G_F^2 M_W^4}{(Q^2 + M_W^2)^2} \frac{ME}{\pi} 2x [\bar{d}^A + \bar{s}^A + (1-y)^2(u^A + c^A)]$$

- ▼ In the shadowing region at low-x, y is large and the  $\sigma_\nu$  are primarily probing the d- and s-quarks.
- ◆ This is very different from  $\ell^\pm$  scattering where the d- and s-quarks are reduced by a factor of 4 compared to the u- and c-quarks.
  - ▼ If shadowing of the d- or s-quarks is negligible this would explain the NuTeV result.
  - ▼ Diminished shadowing of the nuclear s-quark is suggested by early extraction of nPDFs by nCTEQ.

